

Receipt



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Vlad J. Novotny

Assignee: Active Optical Networks, Inc.

Title: "Deep-Well Lithography Process for Forming Micro-Electro-Mechanical Structures"

Serial No.: 10/027,882

Filed: December 21, 2001

Examiner:

Tel:

Docket No.: AO-001-2D

Art Unit: 2874

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Office of Initial Patent Examination's
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Washington, D.C. 20231

February 26, 2002

REQUEST FOR CORRECTION TO FILING RECEIPT

Sir/Madam:

Enclosed please find a copy of the Filing Receipt (confirmation number 3229) for the above-identified application. The Domestic Priority data as claimed by applicant only shows the benefit of one prior application. Please add application No. 09/865,981, filed 05/24/01, and application No. 10/032,198, filed 12/20/01 as noted in red on the attached sheet.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Office of Initial Patent Examination's Customer Service Center, Washington, D.C. 20231, on February 26, 2002.

2.26.02

Date

Laurie Moreno

Respectfully submitted,

Arthur J. Behiel
Attorney for Applicants
Reg. No. 39,603

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 10/027,882 | 12/21/2001 | 2874 | 370 | A0-001-2D | 27 | 16 | 2 |

CONFIRMATION NO. 3229

27946
ARTHUR J. BEHIEL
7041 KOLL CENTER PARKWAY
SUITE 280
PLEASANTON, CA 94566

FILING RECEIPT



OC000000007485266

Date Mailed: 02/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Vlad J. Novotny, Los Gatos, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/206,744 05/24/2000

Foreign Applications

09/865,981 5/24/01
10/032,198 12/20/01

If Required, Foreign Filing License Granted 02/15/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

Deep-well lithography process for forming micro-electro-mechanical structures

Preliminary Class

385

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|--------------------|-------------|-----------------------|------------------------|
| 10/027,882 | 12/21/2001 | Vlad J. Novotny | A0-001-2D |

CONFIRMATION NO. 3229

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OC000000007643663

Date Mailed: 03/14/2002

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Domestic Continuity and Foreign Priority

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The priority or continuity claim has not been entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
- ☐ A claim for priority cannot be made based on an application filed after the application making the claim.
- ☐ Domestic and foreign priority claims will not be captured in a provisional application. A provisional application is not entitled to a right of priority or to the benefit of an earlier filing date of any other application. See 35 USC 111(b)(7) and 37 CFR 1.53(c)(4).
- ☐ A domestic continuity claim cannot be made to a foreign application and the filing receipt will only list the foreign country, application number, and filing date.
- ☐ Foreign priority will appear on the Filing Receipt in the following order:
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